

Compactness and Incompactness on Singular Cardinals

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Section 1

What Compactness Means for Singular Cardinals

Compactness Properties at Singular Cardinals

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- ▶ Non-compactness is a key property of L and other inner models.

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The continuum function $\kappa \mapsto 2^\kappa$ on **regular** cardinals is constrained only by:

- ▶ $\lambda \leq \kappa \implies 2^\lambda \leq 2^\kappa$ (*monotonicity*)
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Theorem (Shelah)

If \aleph_ω is a strong limit then $2^{\aleph_\omega} < \aleph_{\omega_4}$.

Section 2

The Non-Compactness of Stationary Reflection (joint w/ Sy-David Friedman)

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Examples

Jensen In L , $\text{SR}(\kappa)$ holds if and only if κ is weakly compact.

Harr.-Sh. $\text{Con}(\text{SR}(\aleph_2 \cap \text{cof}(\omega))) \iff \text{Con}(\exists \text{ a Mahlo cardinal}).$

Sargsyan $\text{Con}(\aleph_\omega \text{ a strong limit} \wedge \text{SR}(\aleph_{\omega+1})) \implies$
 $\text{Con}(\exists \text{ a Woodin cardinal with a strong cardinal below it}).$

Solovay? κ supercompact $\implies \text{SR}(\lambda \cap \text{cof}(< \kappa))$ for all regular $\lambda \geq \kappa$.

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Theorem (Friedman-L.)

Suppose χ is a supercompact cardinal in V such that GCH holds above χ . Let F be a 2-valued function on the class of regular cardinals $\geq \chi$. Then there is a forcing extension $W \supset V$ in which:

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- ▶ $\chi = \aleph_{n+2}$;
- ▶ cofinalities and GCH are preserved above χ ;
- ▶ for all regular $\kappa \in W$ such that $\kappa \geq \aleph_{n+2}$, there is a non-reflecting stationary subset of $\kappa \cap \text{cof}(\aleph_n)$ if and only if $F(\kappa) = 1$.

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Relative to the consistency of a supercompact cardinal, it is consistent that there is a model in which for all regular $\kappa \geq \aleph_{n+2}$, $\text{SR}(\kappa \cap \text{cof}(\aleph_n))$ holds if and only if $\varphi(\kappa)$ holds where $\varphi(\kappa)$ could be any of the following:

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- ▶ κ is the successor of a regular cardinal;
- ▶ κ is the successor of a singular cardinal;
- ▶ κ is inaccessible;
- ▶ κ is not inaccessible;
- ▶ $\kappa = \aleph_{n+17}$;
- ▶ $\kappa \neq \aleph_{n+17}$.

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Absolutely not.

Section 3

The Situation with Square (joint w/ Sy-David Friedman and Dima Sinapova)

$\square_{\mathcal{K}}$ and $\square_{\mathcal{K}}^*$

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Given a \square_{κ} -sequence, there is no club $D \subseteq \kappa^+$ such that $\forall \alpha \in \lim D, D \cap \alpha = C_{\alpha}$. In other words, the sequence has no thread.

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- ▶ for all $C \in \mathcal{C}_{\alpha}$, C is a club in α of order-type $\leq \kappa$;
- ▶ for all $C \in \mathcal{C}_{\alpha}, \beta \in \lim C, C \cap \beta \in \mathcal{C}_{\beta}$.

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Theorem (Shelah)

If λ is a singular cardinal then there is a product of regular cardinals $\prod_{i < \text{cf } \lambda} \lambda_i$ with $\sup_{i < \text{cf } \lambda} \lambda_i = \lambda$ that carries a scale.

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If λ is singular, then \square_λ^* implies that all scales on λ are good.

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Fact

If κ is supercompact and $\text{cf } \lambda < \kappa < \lambda$, then every scale on λ is bad.

Non-Compactness of \square_{κ} at \aleph_{ω}

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Theorem (Cummings, Foreman, and Magidor / Krueger)

Assuming the consistency of a supercompact cardinal, there is a model where \square_{\aleph_n} holds for all $n < \omega$ and:

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K $\square_{\aleph_\omega}^*$ *fails, i.e. there is no $\aleph_{\omega+1}$ -special Aronszajn tree. In fact, all scales on \aleph_ω are bad.*

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- ▶ \square_λ fails;
- ▶ more precisely, there is a bad scale on λ .

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- ▶ A is avoided by a club $\langle \lambda_\xi : \xi < \text{cf } \lambda \rangle$. There is a function F with domain A such that for all $\delta \in A$, $F(\delta)$ is a regular cardinal $\leq \delta$ and such that $F(\delta) \geq \lambda_\xi^+$ if $\delta \in A \setminus \lambda_\xi^+$.

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- ▶ κ remains supercompact in $V[\mathbb{Q}]$, which means that every scale on λ^+ is bad. Because \mathbb{Q}/\mathbb{S} preserves λ^+ , this implies that there must have been a bad scale in $V[\mathbb{S}]$, hence \square_λ fails in $V[\mathbb{S}]$.

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*Then there is a partial \square_λ -sequence on $\lambda^+ \cap \text{cof}(> \mu)$,
i.e. there is some $Z \supset \lim(\lambda^+) \cap \text{cof}(> \mu)$ and a sequence $\langle C_\alpha : \alpha \in Z \rangle$
such that for all $\alpha \in Z$:*

- ▶ $C_\alpha \subset \alpha$ is a club in α ;
- ▶ the order-type of C_α is $< \lambda$;
- ▶ for all $\beta \in \lim C_\alpha$, $\beta \in S$ and $C_\alpha \cap \beta = C_\beta$.

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- ▶ Using the fact that $\prod_{i \in S} \lambda_i^+$ carries a good scale, we can argue that there is a *continuous* good scale \vec{f} . Namely, a scale $\langle f_\alpha : \alpha < \lambda^+ \rangle$ such that:
 - ▶ If cf $\alpha < \mu$ and $A \subset \alpha$ is unbounded, then $[i \mapsto \sup_{\beta \in A} f_\beta(i)] =^* f_\alpha$.
 - ▶ If cf $\alpha > \mu$, then for all unbounded $A \subset \alpha$, there is some $A' \subset A$ unbounded such that $[i \mapsto \sup_{\beta \in A'} f_\beta(i)] =^* f_\alpha$.

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- ▶ Let $\mathcal{C}_i = \langle C_\xi^i : \xi < \lambda_i^+ \rangle$ witness \square_{λ_i} for all $i \in S$. For each $\alpha \in \lambda^+ \cap \text{cof}(> \mu)$, let:

$$X_\alpha := \langle \beta < \alpha : \{i < \mu : f_\beta(i) \in \lim C_{f_\alpha(i)}^i\} \subset S \setminus j, \text{ some } j \rangle.$$

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- ▶ C_α will be the closure of X_α in α .

Proof of WSTfS: Verifying the Properties of Partial Square

- ▶ $X_\alpha := \langle \beta < \alpha : \{i < \mu : f_\beta(i) \in \lim C_{f_\alpha(i)}^i\} \subset S \setminus j, \text{ some } j \rangle$

Proof of WSTfS: Verifying the Properties of Partial Square

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- ▶ Coherence of the X_α 's follows (with an argument) from closure.
- ▶ Unboundedness of the X_α 's follows from an interleaving argument:
 We define $\langle \gamma_n : n < \omega \rangle \subset \alpha$, $\langle g_n : n < \omega \rangle \subset \prod_{i < \mu} \lambda_i^+$,
 $\langle j_n : n < \omega \rangle \subset \mu$ such that given γ_n , $g_{n+1}(i) = \min C_{f_\alpha(i)}^i \setminus f_{\gamma_n}(i)$ and
 $g <^* f_{\gamma_{n+1}}$ (using the exact upper bound property). Then if
 $\gamma^* = \sup_{n < \omega} \gamma_n$ and $j^* = \sup_{n < \omega} j_n$, j^* witnesses that $\gamma^* \in X_\alpha$.

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- ▶ To show that the X_α 's have order-type $< \lambda$: Assume without loss of generality that the square sequences $\langle C_\xi^i : i < \mu \rangle$ were defined so that $\text{ot } C_\xi^i < \lambda_i$ for all $\xi < \lambda_i^+$, $i < \mu$. For every $i \in \lim S$, there is some $j < i$ such that $\text{ot } C_{f_\alpha(i)}^i < \lambda_j$. So there is a stationary $T \subseteq S$ and some j such that for all $i \in T$, $\text{ot } C_{f_\alpha(i)}^i < \lambda_j$. If $\beta \in X_\alpha$, let $g_\beta(i) = \text{ot } C_{f_\alpha(i)}^i \cap f_\beta(i)$ for all i such that $f_\beta(i) \in C_{f_\alpha(i)}^i$ and 0 otherwise. If $\beta, \beta' \in X_\alpha$ and $\beta < \beta'$, then g_β and $g_{\beta'}$ are distinct because $f_{\beta'}$ eventually dominates f_β . Furthermore, $\{g_\beta : \beta \in X_\alpha\}$ has size $\lambda_j^{\text{cf } \lambda} < \lambda$ since we assumed λ is a strong limit, which shows that X_β has size less than λ .

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Silver's Theorem for Square is false. Rather, it is consistent up to large cardinals that \square_{\aleph_δ} holds for all $\delta < \omega_1$, while $\square_{\aleph_{\omega_1}}$ fails.

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Conjecture

Some strong-ish form of Silver's Theorem for Weak Square is true. If λ is a singular strong limit of uncountable cofinality and \square_δ^ holds for all $\delta < \lambda$, then \square_λ^* holds as well.*

Děkuji!